



Best-in-Class Coverage for Investment Advisers for 30+ years.

The Hartford Asset Management Choice® Policy



For Registered Investment Advisers with up to \$2.5 billion in assets under management, specialized coverage and solutions include:

- Blended policy for Professional Lines including Directors and Officers and Errors and Omissions liability
- Automatic Renewal for qualifying accounts up to:
 - » \$2M limit
 - » 3 years
- Optional coverage:
 - » Employment Practices Liability
 - » Fiduciary Liability
 - » Theft Coverage – Employee, Hacker and Social Engineering up to \$250K sub-limit
 - » Cyber Coverage – \$250K sub-limit

Financial Institutions Bond and Fiduciary ERISA



Offering two important Financial Institutions Fidelity bonds:

1. Financial Institutions Bond (FIB) for Investment Firms
 - Employee Theft, Computer and Fund Transfer Fraud
 - Deception Fraud (Social Engineering) available by rider
 - Automatic Renewal for qualifying accounts up to:
 - » \$3M limit
 - » 3 years
2. Fiduciary Bonds for Employee Retirement Income Security Act (ERISA) compliance coverage
 - 2-year term available up to a \$5M limit
 - Capacity up to \$35M limit

CyberChoice First Response PolicySM



CyberChoice First Response Policy helps with evolving cyber threats and exposures, including:

- 24/7 Cyber Claims Hotline
- Complimentary preventative services including Bitsight Security Rating
- Access to The Hartford's Cyber Center which offers educational resources
- Cyber Extortion and Data Recovery Expertise from third-party specialists

Business Owner's Policy (BOP)



For your small business clients, our Spectrum® Business Owner's Policy is the BOP to Beat®. It features these coverages:

- Commercial property
 - General liability
 - Business income
 - Plus a broad range of optional coverages to help deliver a tailored solution
- Also available:
- Workers' compensation
 - Business auto coverage

Learn more about insurance solutions for investment advisers.

Visit [TheHartford.com/investmentadvisers](https://www.TheHartford.com/investmentadvisers)



The Hartford

This document outlines in general terms the coverages that may be afforded under a policy from The Hartford. All policies must be examined carefully to determine suitability for your needs and to identify any exclusions, limitations or any other terms and conditions that may specifically affect coverage. In the event of a conflict, the terms and conditions of the policy prevail. All coverages described in this document may be offered by one or more of the property and casualty insurance company subsidiaries of The Hartford Insurance Group, Inc. Coverage may not be available in all states or to all businesses. Possession of these materials by a licensed insurance producer does not mean that such producer is an authorized agent of The Hartford. To ascertain such information, please contact your state Department of Insurance or The Hartford at 1-888-203-3823. All information and representations herein are as of December 2025.

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